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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/554,912

10/31/2005

Hiroyuki Fukui

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EXAMINER

JONES, MARCUS D

ART UNIT

PAPER NUMBER

3717

NOTIFICATION DATE

DELIVERY MODE

03/07/2011

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

SUGHRUE265550@SUGHRUE.COM  
USPTO@SUGHRUE.COM  
PPROCESSING@SUGHRUE.COM

<b>Office Action Summary</b>	<b>Application No.</b> 10/554,912	<b>Applicant(s)</b> FUKUI ET AL.	
	<b>Examiner</b> Marcus D. Jones	<b>Art Unit</b> 3717	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-13 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-13 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                    | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. ____.                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date ____.   | 6) <input type="checkbox"/> Other: ____.                          |

## DETAILED ACTION

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 9 December 2009 has been entered.
2. Claims 1- 13 are currently pending.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. **Claims 1-3 are rejected under 35 U.S.C. 102(b) as being anticipated by Kinoshita et al. (Japanese Patent Application 6-105943).**

In reference to claim 1, Kinoshita discloses: A gaming machine, comprising:  
a plurality of reels, each of which variably presents a plurality of symbols (pg 9, par 11-12, *The slot machine is equipped with three reels, with which two or more symbols were expressed to the out circumferential surface and the reel display aperture*);

a cover body, coving the reels and formed with a plurality of observation windows, each of which is associated with one of different parts in each of the reels (see Figure 1, *The Examiner interprets the game cabinet as the cover body and each individual reel as an observation window*); and

a controller, operable to execute a game and exclusively allow one of the different parts in each of the reels to be viewed through an associated one of the plurality of observation windows in accordance with a condition of the game (see Figure 11, CPU 26).

In reference to claim 2, Kinoshita discloses the invention substantially as claimed. Kinoshita further discloses The periphery of these reels 1a, 1b, and 1c arranges the beltlike sheet 16 with which two or more symbols were drawn on the surface of a transparent synthetic resin sheet material (par 17).

In reference to claim 3, Kinoshita discloses the invention substantially as claimed. Kinoshita further discloses a first light source, disposed inside the reels to emit visible light; and a second light source, disposed outside the reels to emit ultraviolet light (pg 14, par 27 and 29, *white lamp and ultraviolet ray lamp, can also be provided not only inside the cabinet but inside each reel*).

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

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invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
  2. Ascertaining the differences between the prior art and the claims at issue.
  3. Resolving the level of ordinary skill in the pertinent art.
  4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
3. **Claims 7-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kinoshita, and further in view of JP 2001-054612 (hereinafter JP '612).**

In reference to claims 7 and 8, Kinoshita and JP '612 disclose the invention substantially as claimed. JP '612 further teaches both a regular bonus game and a big bonus game that are shifted to by turning on an ultraviolet lamp in the gaming cabinet. A special pattern is then visible during play of the bonus game (par 16).

It would have been obvious to a person having ordinary skill in the art at the time of the invention to have modified Kinoshita in view of JP '612 in order to make the bonus game visually appealing by using the UV-lit symbols.

In reference to claim 9, Kinoshita and JP '612 disclose the invention substantially as claimed. JP '612 further teaches that the ultraviolet light is turned on during the bonus game, which may be a lottery (par 16 and par 51).

In reference to claim 10, Kinoshita and JP '612 disclose the invention substantially as claimed. JP '612 further teaches a maximum number of bonus games (*casting lots*) to be played, the odds of winning the lottery and the predetermined pattern to win (par 36-37).

In reference to claim 11, Kinoshita and JP '612 disclose the invention substantially as claimed. JP '612 further teaches that the symbols visible by ultraviolet light are around the peripheral of the rotation reel and are in a blank state when the ultraviolet light is not on (par 17-18).

**4. Claims 4-6 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kinoshita, and further in view of JP 2002-200243 (hereinafter JP '243).**

In reference to claim 4, Kinoshita discloses the invention substantially as claimed except for a mirror member. JP '243 further teaches a mirror, which provides a reflected image of the symbols (par 22).

It would have been obvious to a person having ordinary skill in the art at the time of the invention to have modified Kinoshita and JP '612 in view of JP '243 to add more paylines that increase player excitement.

In reference to claim 5, Kinoshita and JP '243 disclose the invention substantially as claimed. JP '243 further teaches a mirror position behind a display window (par 22). JP '243 also teaches the mirror maybe a half mirror reflecting symbols on the display device (par 25).

In reference to claim 6, Kinoshita and JP '243 disclose the invention substantially as claimed. JP '243 further teaches that the image is reflected (*inverted*) onto the display device by the concave mirror (par 25).

In reference to claim 13, Kinoshita discloses the invention substantially as claimed except reducing the light amount transmitted. JP '243 further teaches reducing the volume of light to increase visibility of an image (par 80).

**5. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kinoshita and JP '612, and further in view of Dickenson et al. (US 5,251,898).**

In reference to claim 12, Kinoshita and JP '612 disclose the invention substantially as claimed but fail to teach that the reels spin in different directions. Dickenson teaches a gaming apparatus with bi-directional reels in which the reels randomly rotate in different directions (see at least col 3 and 4).

It would have been obvious to a person having ordinary skill in the art at the time of the invention to have modified Kinoshita and JP '612 to include the random-direction reels of Dickenson in order to provide game features that increase player interest and enjoyments, as is favorably described in Dickenson (col 1, ln 18-22). The Examiner notes that Dickenson provides a showing that it was known in the art to allow the reels to spin in a bottom-to-top direction, which renders the limitations of claim 12 an obvious matter of aesthetic design choice since it does not change the outcome of the game.

***Response to Arguments***

6. Applicant's arguments have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Marcus D. Jones whose telephone number is (571)270-3773. The examiner can normally be reached on M-F 9-5 EST, Alternate Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Melba Bumgarner can be reached on 571-272-4709. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Marcus D. Jones/  
Examiner, Art Unit 3717

/Melba Bumgarner/  
Supervisory Patent Examiner, Art Unit 3717